



Please type a plus sign (+) inside this box →



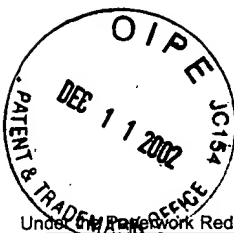
PTO/SB/21 (08-00)  
Approved for use through 10/31/2002. OMB 0651-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)		Application Number	09/771,263
		Filing Date	January 26, 2001
		First Named Inventor	THOMPSON, JAMES
		Group Art Unit	1642
		Examiner Name	YAEN, CHRISTOPHER H.
Total Number of Pages in This Submission	19	Attorney Docket Number	IRVN-005CIP
<b>ENCLOSURES (check all that apply)</b>			
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Terminal Disclaimer	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter	
<input checked="" type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s)	<input checked="" type="checkbox"/> Request for Corrected Filing Receipt <input checked="" type="checkbox"/> Red Marked copy of the Filing Receipt <input checked="" type="checkbox"/> Supplemental Application Data Sheet <input type="checkbox"/> Supplemental Application Data Sheet	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <b>Return Postcard</b>	
<input checked="" type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Documents <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<b>Remarks</b>		
<b>SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT</b>			
Firm or Individual Name	CAROL L. FRANCIS, Reg. No. 36,513		
Signature			
Date	December 5, 2002		

<b>CERTIFICATE OF MAILING</b>			
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: December 5, 2002.			
Typed or printed name	Steven F. Goldstein		
Signature		Date	December 5, 2002

Burden Hour Statement: This form is estimated to take .2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



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# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

## Complete if Known

Application Number	09/771,263
Filing Date	January 26, 2001
First Named Inventor	THOMPSON, JAMES
Examiner Name	YAEN, CHRISTOPHER H.
Group Art Unit	1642
Attorney Docket No.	IRVN-005CIP

TOTAL AMOUNT OF PAYMENT (\$ ) 460

## METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit overpayments to:  
Deposit Account Number **50-0815**  
Deposit Account Name **Bozicevic, Field & Francis LLP**  
☒ Charge Any Additional Fee Required  
Under 37 CFR 1.1 6 and 1.17  
☒ Applicant Claims small entity status.  
See 37 CFR 1.27

2. ☐ Payment Enclosed:  
☐ Check ☐ Credit Card ☐ Money Order ☐ Other

## FEE CALCULATION

### 2. BASIC FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1)

### 1. EXTRA CLAIM FEES

	Extra Claims	Fee from below	Fee Paid
Total Claims 24 -24** = 0 x			
Indep. Claims 1-3** = 0 x			
Multiple Dependent			

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) \$

\*\*or number previously paid, if greater; For Reissues, see above.

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Fee Code	Large Entity Fee (\$)	Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examination action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	460
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	For each additional invention to be examined (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ ) 460

## SUBMITTED BY

## Complete (if applicable)

Name (Print/Type)	Carol L. Francis	Registration No. (Attorney/Agent)	36,513	Telephone	(650) 327-3400
Signature				Date	12/05/2002

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.




S 12/10

1600 Receipt

Atty Dkt. No. IRVN-005CIP  
USSN: 09/771,263

\$  
#8

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Office of Initial Patent Examination, Commissioner for Patents, Washington, D.C. 20231.			
Typed or Printed Name	Steven F. Goldstein		
Signature		Date	December 5, 2002
<b>REQUEST FOR CORRECTED FILING RECEIPT</b>  Address to: Office of Initial Patent Examination Commissioner for Patents Washington, D.C. 20231	Attorney Docket	IRVN-005CIP	
	Applicant	Gale Arthur Granger	
	Serial Number	09/771,263	
	Filing Date	January 26, 2001	
	Group Art Unit	1642	
	Examiner Name	Christopher Yaen	
	Title:	"TREATING TUMORS USING IMPLANTS COMPRISING COMBINATIONS OF ALLOGENEIC CELLS"	

Sir:

A filing receipt for the above-identified patent application has been issued by the U.S. Patent and Trademark Office (copy attached) and has been found to contain the following error(s):

- (1) Please correct the order of the "Applicants" to the following order:  
Gale Arthur Granger, Laguna Beach, California;  
John C. Hiserodt, Huntington Beach, California; and  
James Thompson, Ladera Ranch, California.
- (2) A marked-up filing receipt showing the requested corrections is attached showing correct order of inventors and a Supplemental Application Data Sheet is also included showing the correct order of the inventors.

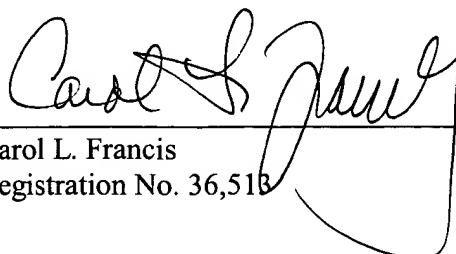
If for any reason a fee is found to be necessary, the Commissioner is authorized to charge such fee to Deposit Account No. 50-0815.

Respectfully submitted,  
BOZICEVIC, FIELD & FRANCIS LLP

Date:

Dec 5, 2002

By:

  
Carol L. Francis  
Registration No. 36,518

BOZICEVIC, FIELD & FRANCIS LLP  
200 Middlefield Road, Suite 200  
Menlo Park, CA 94025  
Telephone: (650) 327-3400  
Facsimile: (650) 327-3231

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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/771,263	01/26/2001	1642	409	IRVN-005CIP	7	24	1

Carol L. Francis  
BOZICEVIC, FIELD & FRANCIS LLP  
200 Middlefield Road, Suite 200  
Menlo Park, CA 94025



CONFIRMATION NO. 7988  
CORRECTED FILING RECEIPT



\*OC000000008161834\*

Date Mailed: 05/21/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

~~James Thompson, Ladera Ranch, CA;~~  
~~John C. Hiserodt, Huntington Beach, CA;~~  
~~Gale Arthur Granger, Laguna Beach, CA;~~

Gale Arthur Granger, Laguna Beach, CA.  
John C. Hiserodt, Huntington Beach, CA.  
James Thompson, Ladera Ranch, CA.

## Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CIP OF 09/169,561 10/09/1998 PAT 6,203,787  
WHICH CLAIMS BENEFIT OF 60/061,766 10/10/1997  
AND IS A CIP OF 08/948,939 10/10/1997 PAT 6,207,147  
WHICH CLAIMS BENEFIT OF 60/028,548 10/11/1996  
AND IS A CIP OF 08/632,753 04/16/1996 ABN  
WHICH IS A CON OF 08/406,388 03/17/1995 ABN  
AND IS A CIP OF 09/169,345 10/09/1998 PAT 6,368,593  
WHICH CLAIMS BENEFIT OF 60/061,662 10/10/1997

## Foreign Applications

If Required, Foreign Filing License Granted 03/19/2001

Projected Publication Date: Not Applicable

Non-Publication Request: No

Early Publication Request: No

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MAY 28 2002

Bozicevic, Field & Francis

DOCKETED



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**\*BIBDATASHEET\*****CONFIRMATION NO. 7988**

Bib Data Sheet

SERIAL NUMBER 09/771,263	FILING DATE 01/26/2001  RULE	CLASS 424	GROUP ART UNIT 1642	ATTORNEY DOCKET NO. IRVN-005CIP
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**APPLICANTS**

Gale Arthur Granger, Laguna Beach, CA;

- John C. Hiserodt, Huntington Beach, CA;
- James Thompson, Ladera Ranch, CA;

**\*\* CONTINUING DATA \*\*\*\*\***

This application is a CIP of 09/169,561 10/09/1998 PAT 6,203,787  
which claims benefit of 60/061,766 10/10/1997  
and is a CIP of 08/948,939 10/10/1997 PAT 6,207,147  
which claims benefit of 60/028,548 10/11/1996  
and is a CIP of 08/632,753 04/16/1996 ABN  
which is a CON of 08/406,388 03/17/1995 ABN  
and is a CIP of 09/169,345 10/09/1998 PAT 6,368,593  
which claims benefit of 60/061,662 10/10/1997

**\*\* FOREIGN APPLICATIONS \*\*\*\*\***

IF REQUIRED, FOREIGN FILING LICENSE GRANTED \*\* SMALL ENTITY \*\*  
\*\* 03/19/2001

Foreign Priority claimed 35 USC 119 (a-d) conditions met Verified and Acknowledged	<input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance Examiner's Signature _____ Initials _____	STATE OR COUNTRY CA	SHEETS DRAWING 7	TOTAL CLAIMS 24	INDEPENDENT CLAIMS 1
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**ADDRESS**

24353  
BOZICEVIC, FIELD & FRANCIS LLP  
200 MIDDLEFIELD RD  
SUITE 200  
MENLO PARK, CA  
94025

**TITLE**

Treating tumors using implants comprising combinations of allogeneic cells

FILING FEE	FEES: Authority has been given to Patent	<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees ( Filing ) <input type="checkbox"/> 1.17 Fees ( Processing Ext of
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